

**8.1.**

The pyroelectric response in the framework of the problem can be found as

$$p = \frac{D_3}{\Delta T}$$

To find the relation between  $D_3$  and  $\Delta T$ , we will use the constitutive equations:

$$\begin{aligned} D_i &= \varepsilon_0 K_{ij} E_j + d_{ij} \sigma_j + p_i \Delta T, \\ \varepsilon_i &= d_{ji} E_j + s_{ij} \sigma_j + \alpha_i \Delta T. \end{aligned}$$

In both cases, the electric field  $E_3 = 0$  since the (001) electrodes are electrically connected. In order to simplify the equation for  $D_3$ , we use the  $4mm$  symmetry restrictions for tensor  $K_{ij}$  ( $K_{31} = K_{32} = 0$ ), thus  $K_{31}E_1 = K_{32}E_2 = 0$ . The equation for  $D_3$  attains the following form:

$$D_3 = d_{3j} \sigma_j + p_3 \Delta T$$

In case **(a)**, the sample is mechanically free, implying all  $\sigma_j = 0$ . Then,  $D_3 = p_3 \Delta T$ , and

$$p_{(a)} = \frac{D_3}{\Delta T} = p_3.$$

In case **(b)**, the sample is kept mechanically free in  $x_1$  and  $x_2$  directions, implying  $\sigma_1 = \sigma_2 = \sigma_4 = \sigma_5 = \sigma_6 = 0$ , and  $\sigma_3 \neq 0$ . Then, equation for  $D_3$  rewrites as

$$D_3 = d_{33} \sigma_3 + p_3 \Delta T$$

To find  $\sigma_3$ , we use the constitutive equation for  $\varepsilon_3 = 0$ , which must not change during the measurement (note that  $E_3 = 0$ ):

$$\varepsilon_3 = d_{j3} E_j + s_{33} \sigma_3 + \alpha_3 \Delta T = d_{13} E_1 + d_{23} E_2 + s_{33} \sigma_3 + \alpha_3 \Delta T$$

Having applied the symmetry restrictions for  $4mm$  point group on the piezoelectric tensor, which have the form (see Symmetry Tables)

$$\begin{aligned} d &= \begin{pmatrix} 0 & 0 & 0 & 0 & d_{15} & 0 \\ 0 & 0 & 0 & d_{15} & 0 & 0 \\ d_{31} & d_{31} & d_{33} & 0 & 0 & 0 \end{pmatrix}, \\ \varepsilon_3 = s_{33} \sigma_3 + \alpha_3 \Delta T = 0 &\Rightarrow \sigma_3 = -\frac{\alpha_3}{s_{33}} \Delta T, \end{aligned}$$

$$D_3 = \left( p_3 - \frac{d_{33} \alpha_3}{s_{33}} \right) \Delta T,$$

$$p_{(b)} = \frac{D_3}{\Delta T} = p_3 - \frac{d_{33} \alpha_3}{s_{33}}.$$

Thus, in **(a)** and **(b)** the measured pyroelectric responses are different. Specifically,

$$p_{(a)} - p_{(b)} = \frac{d_{33} \alpha_3}{s_{33}}$$

## 8.2

The capacitance in the framework of the problem can be found as

$$C = \frac{\Delta Q}{\Delta V} = \frac{\Delta D_3 \cdot S}{\Delta E_3 \cdot L},$$

where  $\Delta V$  is the change of applied voltage,  $\Delta Q$  is the change of the charge on the electrodes.

To find the relation between  $D_3$  and  $E_3$ , we will use the constitutive equations at constant temperature:

$$\begin{aligned} D_i &= \varepsilon_0 K_{ij} E_j + d_{ij} \sigma_j, \\ \varepsilon_i &= d_{ji} E_j + s_{ij} \sigma_j. \end{aligned}$$

Applying the  $4mm$  symmetry restrictions for tensor  $K_{ij}$  ( $K_{31} = K_{32} = 0$ ), one can rewrite the equation for  $D_3$  as follows:

$$D_3 = \varepsilon_0 K_{33} E_3 + d_{3j} \sigma_j.$$

In case **(a)**, the sample is mechanically free, implying all  $\sigma_j = 0$ . Then,  $D_3 = \varepsilon_0 K_{33} E_3$ , and

$$C_{(a)} = \frac{D_3 \cdot S}{E_3 \cdot L} = \varepsilon_0 K_{33} \frac{S}{L}.$$

In case **(b)**, the sample is kept mechanically free in  $x_1$  and  $x_2$  directions, implying  $\sigma_1 = \sigma_2 = \sigma_4 = \sigma_5 = \sigma_6 = 0$ , and  $\sigma_3 \neq 0$ . Then, equation for  $D_3$  rewrites as

$$D_3 = \varepsilon_0 K_{33} E_3 + d_{33} \sigma_3.$$

To find  $\sigma_3$ , we use the constitutive equation for  $\varepsilon_3 = 0$ , which must not change during the measurement:

$$\varepsilon_3 = d_{j3} E_j + s_{33} \sigma_3 = d_{13} E_1 + d_{23} E_2 + d_{33} E_3 + s_{33} \sigma_3$$

Having applied the symmetry restrictions for  $4mm$  point group on the piezoelectric tensor, which have the form (see Symmetry Tables)

$$\begin{aligned} d &= \begin{pmatrix} 0 & 0 & 0 & 0 & d_{15} & 0 \\ 0 & 0 & 0 & d_{15} & 0 & 0 \\ d_{31} & d_{31} & d_{33} & 0 & 0 & 0 \end{pmatrix}, \\ \varepsilon_3 = d_{33} E_3 + s_{33} \sigma_3 = 0 &\Rightarrow \sigma_3 = -\frac{d_{33}}{s_{33}} E_3, \end{aligned}$$

$$D_3 = \left( \varepsilon_0 K_{33} - \frac{d_{33}^2}{s_{33}} \right) E_3,$$

$$C_{(b)} = \frac{D_3 \cdot S}{E_3 \cdot L} = \left( \varepsilon_0 K_{33} - \frac{d_{33}^2}{s_{33}} \right) \frac{S}{L}.$$

Thus, in **(a)** and **(b)** the measured capacitances are different. Specifically,

$$\frac{C_{(a)} - C_{(b)}}{C_{(a)}} = \frac{d_{33}^2 / s_{33}}{\varepsilon_0 K_{33}} = 0.35$$